

# Severing Internal Arguments from their Predicates: An English Case Study\*

**Byron Ahn** (byron-æ@ucla-dat-edu)  
Swarthmore College

January 8, 2016

## 1 Introduction

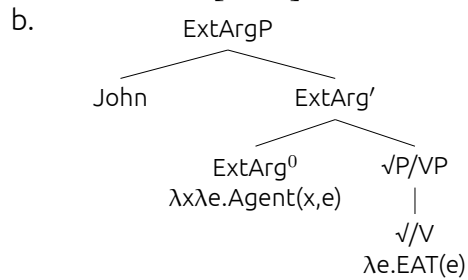
- ◆ It's long been argued that external arguments<sup>1</sup> are not introduced by the verb
  - ▶ There is an ever-increasing amount of evidence to this effect
    - Verbal morphology, idioms, adverbial modification, semantic composition, passives, ...
    - (Larson 1988, Marantz 1984, 1997, Hale and Keyser 1993, Chomsky 1995, Kratzer 1996, von Stechow 1996, Pylkkänen 2002, Ahn 2010, Lohndal 2012, Harley 2013...)
  - ▶ This is one aspect of a 'Neo-Davidsonian' approach to the Syntax-Semantics Interface
    - Neo-Davidsonian semantics: a verb names the type of event, but its arguments are introduced by different functions
      - ◇ Taken up in Parsons 1990 and Schein 1993, and many subsequent works; See Lohndal 2012:Ch.3 for an overview
    - If arguments of a verb are introduced by unique verb-independent **semantic** functions, a transparent Syntax-Semantics Interface ought to require those functions be associated with unique **syntactic** heads
  - ▶ Thus a neo-Davidsonian representation of *John ate* might look like (1a), with a transparent syntactic representation of this in (1b)

---

<sup>0</sup>I would like to thank Luke Adamson, Heidi Harley, Alec Marantz, Jim McCloskey, Neil Myler, Norvin Richards, and Craig Sailor, for helpful discussions and critiques, as well as anyone else who has lent their advice, voices, ears, or judgments. All errors are my own.

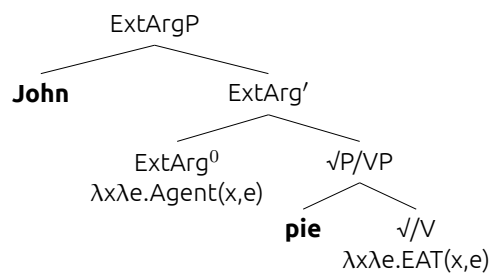
<sup>1</sup>To be clear, I use this term to refer to the highest argument in the thematic domain of a predicate.

(1) a.  $\exists e[\text{Agent}([\text{John}],e) \ \& \ \text{EAT}(e)]$

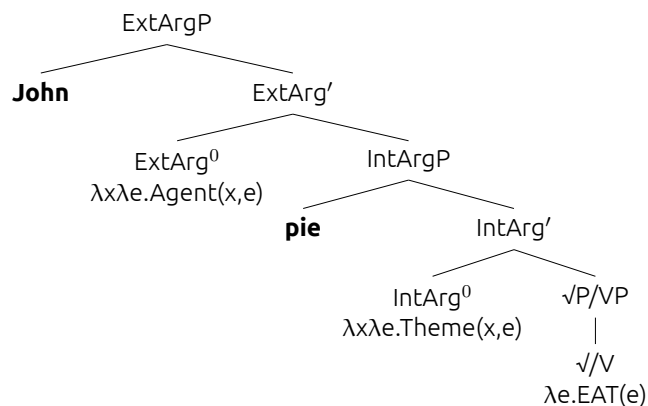


- The external argument is “severed” from the lexical verb
  - Introduced by a functional head (ExtArg/v/Voice/...) outside of the projection of the lexical predicate’s root (√P/VP), which is associated with the semantics of the external argument function
- ♦ The question of whether internal arguments are severed from the lexical predicate is less commonly addressed
- ▶ Does *John ate pie* resemble (2a) or (2b)?

(2) a.



b.



### Big Question

Are IntArgs of non-Change-of-State predicates syntactically introduced in the √P/VP, or elsewhere?

- ▶ IntArgs of change-of-state (CoS) predicates introduced outside  $\sqrt{P/VP}$  (Hale and Keyser 1993, Cuervo 2003, Doron 2014)
  - For non-CoS IntArgs, (2a) is commonly assumed (e.g., Chomsky 1995, Kratzer 1996), and has been recently defended by Harley (2014a,b)
  - (2b) is less common, but has been argued to hold for non-CoS IntArgs (Borer 2005a,b, Bowers 2010, Lohndal 2012, Alexiadou 2014, Cuervo 2014, ...)

## 2 *out*-PRED Data

- ◆ *out*- can prefix to predicates forming complex predicates (*out*-PRED):

- (3)
- |    |   |   |
|----|---|---|
| a. | Google has outdone itself today                   | <a href="http://bit.ly/1GY0Np0">http://bit.ly/1GY0Np0</a> |
| b. | Neither one outsang the other.                    | <a href="http://bit.ly/19PZpup">http://bit.ly/19PZpup</a> |
| c. | By 2017, connected devices will outnumber people. | <a href="http://bit.ly/1BqSr4I">http://bit.ly/1BqSr4I</a> |
| d. | 78-Year-Old Natator Says He Can Outfloat Rivals   | <a href="http://bit.ly/1FJXJ2w">http://bit.ly/1FJXJ2w</a> |

- ▶ First, let us consider what a canonical example like (3a) means
    - Notably, this does not entail that Google has done itself
    - This expresses that Google did something to a greater/better/more extreme degree, as compared to the other events in which Google (*themselves*) has done that thing
      - ◇ (In this case, the thing being done is the daily Google doodle)
    - In general, these can be paraphrased as “SUBJECT participated in a VERBing (of something) to a greater/better degree than OBJECT”
  - ▶ This *out*-PRED construction is highly productive
    - The predicate in question must be able to be construed as some kind of contest, or as something with a scalar aspect to it
    - Whatever theta role the PRED normally assigns its external argument gets assigned to the subject and object of *out*-PRED
    - Both arguments receive the same thematic interpretation with regard to PRED<sup>2</sup>
- (4)
- |    |  |   |
|----|--|---|
| a. | <u>Agent</u> : Gorbachev is outmaneuvering his critics.          | <a href="http://trib.in/1EGgwq6">http://trib.in/1EGgwq6</a> |
| b. | <u>Experiencer</u> : And a bear can out-smell even a bloodhound. | <a href="http://bit.ly/1BMPvz3">http://bit.ly/1BMPvz3</a>   |
| c. | <u>Theme</u> : This food outlasts even a Twinkie.                | <a href="http://bit.ly/1OyYvSw">http://bit.ly/1OyYvSw</a>   |
- (5)
- |    |   |   |
|----|---|---|
| a. | <u>Agent</u> : He outsells all our other salespeople.           | <a href="http://bit.ly/1CqpKJV">http://bit.ly/1CqpKJV</a> |
| b. | <u>Theme</u> : Mustangs outsell Camaros and Firebirds combined. | <a href="http://bit.ly/1GmxKNL">http://bit.ly/1GmxKNL</a> |

<sup>2</sup>There are some *out*-PREDs which allow their internal argument to be some kind of standard, measure, or point on a scale, e.g. *Chad hasn't out-grown comics* where comics cannot be said to be growing. Not every *out*-PRED allows this, e.g. \**John outran the record time*. In the examples I have observed with this property, they cannot be easily passivized, unlike the *out*-PREDs discussed here; see (9)–(11) and surrounding discussion.

## 2.1 PRED and *out*-PRED

- ◆ An *out*-PRED retains PRED's lexical properties
  - ▶ Including **morphophonological irregularities**
    - (6) a. do + -s = [dʌz], \*[duz]    run + -ed = *ran*, \**runned*  
 b. outdo + -s = *out*[dʌz], \**out*[duz]    outrun + -ed = *outran*, \**outrunned*
      - *out*-PRED never triggers regularization of PRED, suggesting that PRED is obligatorily active/visible in the morphological derivation
      - This is unlike other cases, where regularization can take place, as opacity may allow derivations in which the irregular item is inaccessible to morphology
    - (7) light + -ed = *lit*  
 green-light + -ed = *green-lighted*
  - ▶ As well as its lexically specified **semantic stativity**
    - (8) a. \*James is weighing 180lbs.    ✓ Joanna is singing a song.  
 b. \*James is outweighing Josh.    ✓ Joanna is out-singing Louisa.
  - ▶ PF and LF properties of PRED: *out*-PRED is not a separate listeme in the lexicon (Keyser and Roeper 1984)
  - ▶ **PRED effects in PF + LF ⇒ PRED in the narrow syntax**
- ◆ Though PRED's root-properties persist in *out*-PRED, ***out*-PRED behaves as an entirely different predicate** in other ways
  - ▶ ***out*-PRED can always be passivized** – even if PRED cannot be
    - (9) a. By mid-September, they numbered 10,000.  
 b. \*By mid-September, 10,000 were numbered (by them).  
 c. By mid-September, they out-numbered us.  
 d. By mid-September, we were out-numbered (by them).
    - (10) a. Titanic 2 didn't run in theaters for a long time.  
 b. \*Theaters weren't run in by Titanic 2 for a long time.  
 c. Titanic 2 didn't outrun Titanic.  
 d. Titanic wasn't outrun by Titanic 2.
    - (11) a. Julie cooked tofu.  
 b. Tofu was cooked (by Julie).  
 c. Julie out-cooked Lee.  
 d. Lee was out-cooked (by Julie).
  - ▶ The adjuncts that are available differ between *out*-PRED and PRED; **instrument PPs are unavailable with *out*-PRED** even when available with PRED
    - (12) a. Katie ate (pizza) with a fork.  
 b. ?\*Katie outate Pete with a fork.
  - ▶ These syntactic properties are properties of functional material in (the high portion of) the predicate's extended projection

- Passivization depends on a head towards the edge of the verbal domain (e.g., Kratzer 1996, Sailor and Ahn 2010, Harley 2013)
- Instruments depend on Agent-related syntax: (e.g., Reinhart 2000)
- ▶ ⇒ **out-PRED's extended projection ≠ PRED's extended projection**
- ◆ Very low properties (morphological irregularities, lexical stativity) controlled by PRED
- ◆ Very high properties (passivability, instrument adjuncts) controlled by *out*-PRED

### Interim Conclusions 1

PRED is syntactically active, and *out*-PRED has a different extended projection

## 2.2 *out*-PRED's IntArg

- ◆ Question: In *out*-PRED contexts, are IntArgs controlled by PRED or *out*-PRED?
  - ▶ **None of PRED's internal argument(s) can surface with out-PRED**
    - It does not matter if the internal argument is...
      - ◇ ...an object of a transitive PRED:
        - (13) a. She thinks about syntax.
        - b. She outthinks (\*about syntax) them (\*about syntax).
        - (14) a. Google lobbied Congress.
        - b. Google outlobbied (\*Congress) Microsoft (\*Congress).
      - ◇ ...an optional cognate object of an unergative PRED:
        - (15) a. Mike danced (a good dance).
        - b. Mike outdanced (\*a good dance) Janet (\*a good dance).
        - (16) a. James weighs (a healthy weight).
        - b. James outweighs (\*a healthy weight) Josh (\*a healthy weight).
      - ◇ ...either argument of a ditransitive PRED:
        - (17) a. Jackie donated money to museums.
        - b. Jackie outdonated (\*money) (\*to museums) Lisa (\*money) (\*to museums).
        - (18) a. Our group gave blood to the Red Cross.<sup>3</sup>
        - b. Our group outgave (\*blood) (\*to the Red Cross) one of the local hospitals (\*blood) (\*to the Red Cross).
- ▶ **Even if PRED is obligatorily transitive**, PRED's IntArg cannot be expressed in *out*-PRED (and another one takes its place)

<sup>3</sup>This example is inspired by a web-hit: *Last year, we outgave one of the local hospitals.* (<http://bit.ly/1NipVst>)

- (19) a. The Iron Man sequel grossed \*(\$625million).  
 b. Each Marvel sequel has out-grossed its predecessor. (<http://bit.ly/1BqdPHI>)  
 c. Each Marvel sequel has out-grossed (\*\$625million) its predecessor (\*\$625million).  
 (20) a. He spent \*(his inheritance).  
 b. He outspent (\*his inheritance) his siblings (\*his inheritance).

► **Even if PRED is obligatorily intransitive**, such as the following unaccusatives<sup>4</sup>, *out*-PRED requires an IntArg

- (21) a. In math class, this student shines.  
 b. In math class, this student outshines \*(everyone else).  
 (22) a. This candidate polls well.  
 b. This candidate outpolls \*(that candidate).

◆ Answer: the IntArg in an *out*-PRED context is controlled by *out*-PRED

► ***out*-PRED is always monotransitive**, regardless of PRED's valency

### Interim Conclusions 2

*out*-PREDS are obligatorily monotransitive, occurring with their own unique internal argument

## 2.3 PRED's IntArg

◆ PRED's missing IntArgs are indeed absent from the derivation: **morphosyntactically controlled "argument suppression"**, like the (anti-)passive

► Interpretations that depend on IntArg presence fail in *out*-PRED

◆ CoS unaccusatives require IntArgs (Rappaport Hovav 2008:24)

- (23) a. Ray cooks tofu better than Lee. (CoS transitive)  
 b. Ray outcooks Lee.  
 c. Tofu cooks better than tempeh. (CoS unaccusative)  
 d. #Tofu outcooks tempeh.

► (23d) is bad because the IntArg is missing from the derivation, and its presence is required to interpret the CoS unaccusative properly<sup>5,6</sup>

► (See Ahn 2015 for more discussion)

<sup>4</sup>By 'unaccusative', I simply mean that an internal argument ends up as the external argument. In this way, it is a cover-term, encompassing middles, anticausatives, ergatives, etc.

<sup>5</sup>The same lexical form can be used as both a CoS unaccusative (*tofu cooks*), CoS transitive (*Ray cooks tofu*), and some kind of null-object unergative (*Ray cooks*). In the case of (23b), it would seem to be that *cook* is the unergative type, as true CoS predicates are said to require IntArgs for their semantic composition (Rappaport Hovav 2008).

<sup>6</sup>Certain verbs that can be used as CoS unaccusatives have been reported to be acceptable with *out*-; in particular, *out-grow* and *out-bloom* (Adamson 2015). However, these appear to be exceptional, and few forms have been found to behave this way. Perhaps it is that they are construed as unergatives (see footnote 5) – a similar idea is proposed by Adamson.

◆ Certain idioms require IntArgs

- (24) a. Julie cooked the books. (= Julie falsified financial records)  
 b. Julie out-cooked the other accountants.  
       ≠ Julie falsified financial records better than other accountants.  
 c. The books have been cooked.

◆ The meaning of *have* is determined by its IntArg

- ▶ “Because *have* itself is semantically vacuous, all of the thematic content of such sentences comes from *have*’s [internal argument].” (Myler 2014:387)

- ▶ None of the various uses of *have* identified in Myler 2014 allow *out-*; two examples:

- (25) a. John has more cars than Bill. (Relational *have*)  
 b. \*In terms of cars, John out-has Bill.  
 c. In terms of cars, John out-owns Bill.
- (26) a. John had more wonderful things happen to him today than Bill. (Experiencer *have*)  
 b. \*In terms of wonderful things, John out-had Bill today.  
 c. ✓? In terms of wonderful things, John out-experienced Bill.

◆ **PRED’s IntArg is syntactically absent from the derivation**

- ▶ Adding *out-* suppresses PRED’s IntArg

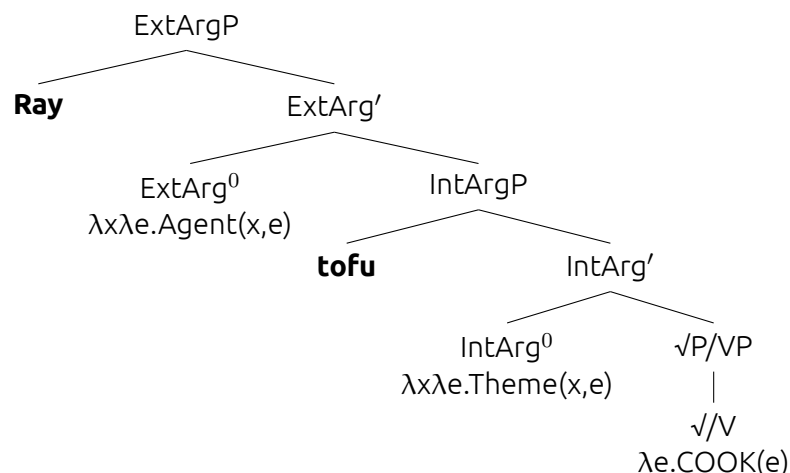
◆ **Monotonicity Hypothesis:** adding morphemes cannot destroy syntactic structure (Koontz-Garboden 2007)

- ▶ PRED’s IntArg(s) must be absent from the derivation (as just shown)  
 ▶ An IntArg could not have entered the syntactic derivation and then be destroyed  
 ▶ Whatever introduces PRED’s normal IntArg must be absent from the derivation of *out*-PRED  
 ▶ PRED is in the derivation of *out*-PRED (§2.1)

▶ **PRED must not be the introducer of IntArgs**

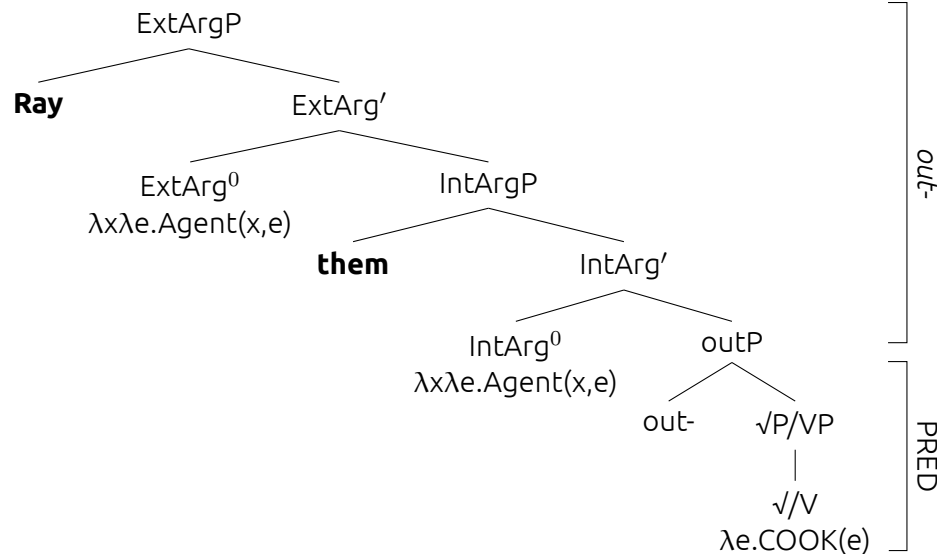
◆ Instead, an IntArg<sup>0</sup> that is outside of √P/VP introduces them

- (27) a. Ray cooked tofu  
 b.



- ◆ How do we “suppress” PRED’s IntArg in *out*-PRED?
  - ▶ Contemporary approaches to passive achieve this by preventing the syntactic head that introduces an ExtArg from merging in the syntax
  - ▶ Similarly, ***out*-PRED’s derivation must block the head that introduces an IntArg**
  - ▶ At the same time, *out*-PRED needs to be able to introduce an IntArg of its own
- ◆ Solution: In *out*-PRED, ***out*-merges with a bare  $\sqrt{P/VP}$  and projects its own thematic structure**

- (28) a. Ray outcooked them  
 b.



- ▶ The  $\sqrt{0}$  *cook* is able to influence which thematic roles ExtArg<sup>0</sup> and IntArg<sup>0</sup> assign, non-locally (as in Harley 2013:§8)
- ▶ This structure is consistent with all we have said so far:
  - PRED is syntactically active
  - *out*-PRED is a distinct predicate, projecting its own extended verbal domain
    - ◊ *out*-PRED can always be passivized because it is always the same extended verbal domain
  - Internal arguments of PRED that are suppressed in *out*-PRED are introduced outside of the  $\sqrt{P/VP}$  that *out*- selects as its complement
    - ◊ **We now have evidence that non-CoS internal arguments may be severed from the predicate**



### 3 Conclusion

- ◆ **IntArgs, like ExtArgs, are severed from the lexical predicate**
  - ▶ For non-CoS predicates, too, based on *out*-PRED
- ◆ **Supporting a fully transparent neo-Davidsonian syntax-semantics interface**
  - ▶ All arguments separated from the lexical predicate, each introduced by unique semantic functions, which correspond with unique syntactic positions
- ◆ **Word formation of *out*-PRED takes place in syntax**
  - ▶ Non-syntactic constituent (*out* + V) can yield a phonological constituent (*out*-PRED)
- ◆ **Open Questions:**
  - ▶ How do transitivity restrictions get enforced if IntArgs are introduced higher?
  - ▶ What kinds of principles whether a predicate's interpretation can/must depend on its IntArg(s)?

### References

- Adamson, Luke. 2015. On the verbal prefix *out-*, and its role within a theory of argument and event structure. ms., University of Pennsylvania.
- Ahn, Byron. 2010. Not just emphatic reflexives themselves: Their prosody, semantics and syntax. Master's thesis, UCLA.
- Ahn, Byron. 2015. Out-sourcing internal arguments. Presented at WCCFL 33.
- Alexiadou, Artemis. 2014. Roots don't take complements. *Theoretical Linguistics* 40:287–297.
- Borer, Hagit. 2005a. *In name only*, volume I of *Structuring Sense*. Oxford: Oxford University Press.
- Borer, Hagit. 2005b. *The normal course of events*, volume II of *Structuring Sense*. Oxford: Oxford University Press.
- Bowers, John. 2010. *Arguments as relations*. MIT Press.
- Chomsky, Noam. 1995. *The minimalist program*. Cambridge, MA: MIT Press.
- Cuervo, María Cristina. 2003. Datives at large. Doctoral Dissertation, MIT.
- Cuervo, María Cristina. 2014. Arguments for a root. *Theoretical Linguistics* 40:375–387.
- Doron, Edit, ed. 2014. *On the identity of roots*, volume 40: 3/4 of *Theoretical Linguistics*. De Gruyter.
- Hale, Kenneth, and Samuel Jay Keyser. 1993. On argument structure and the lexical expression of syntactic relations. In *The view from building 20*, ed. Kenneth Hale and Samuel Jay Keyser, 53–110. Cambridge, MA: MIT Press.
- Harley, Heidi. 2013. External arguments and the Mirror Principle: On the distinctness of Voice and *v*. *Lingua* 125:34–57.
- Harley, Heidi. 2014a. On the identity of roots. *Theoretical Linguistics* 40:225–276.
- Harley, Heidi. 2014b. Reply to commentaries, "on the identity of roots". *Theoretical Linguistics* 40:447–474.
- Keyser, Samuel Jay, and Thomas Roeper. 1984. On the middle and ergative constructions in English. *Linguistic Inquiry* 15:381–416.

- Koontz-Garboden, Andrew. 2007. States, changes of state, and the monotonicity hypothesis. Doctoral Dissertation, Stanford University.
- Kratzer, Angelika. 1996. Severing the external argument from its verb. In *Phrase structure and the lexicon*, 109–137. Dordrecht: Kluwer.
- Larson, Richard. 1988. On the double object construction. *Linguistic Inquiry* 19:335–391.
- Lohndal, Terje. 2012. Without specifiers: Phrase structure and events. Doctoral Dissertation, University of Maryland.
- Marantz, Alec. 1984. *On the nature of grammatical relations*. Cambridge, MA: MIT Press.
- Marantz, Alec. 1997. No escape from syntax: Don't try morphological analysis in the privacy of your own lexicon. In *University of pennsylvania working papers in linguistics vol. 4.2*, ed. Alexis Dimitriadis, Laura Siegel, Clarissa Surek-Clark, and Alexander Williams, 201–225. University of Pennsylvania.
- Myler, Neil. 2014. Building and interpreting possession sentences. Doctoral Dissertation, NYU.
- Parsons, Terence. 1990. *Events in the semantics of English: A study in subatomic semantics*. Cambridge, MA: MIT Press.
- Pylkkänen, Liina. 2002. Introducing arguments. Doctoral Dissertation, MIT.
- Rappaport Hovav, Malka. 2008. Lexicalized meaning and the internal temporal structure of events. In *Theoretical and crosslinguistic approaches to the semantics of aspect*, ed. Susan D. Rothstein, volume 110 of *Linguistik Aktuell/Linguistics Today*, 13–42. John Benjamins Publishing Company.
- Reinhart, Tanya. 2000. The theta system: Syntactic realization of verbal concepts. *Uil-OTS Working Papers*.
- Sailor, Craig, and Byron Ahn. 2010. The Voices in our heads: The VoiceP in English. Presented at Morphological Voice and its Grammatical Interfaces, University of Vienna.
- Schein, Barry. 1993. *Plurals and events*. Cambridge, MA: MIT Press.
- von Stechow, Arnim. 1996. The different readings of wieder 'again': A structural account. *Journal of Semantics* 13:86–138.